

Ser. No. 09/963,401

Attorney Docket: 19111.0049

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :
Pablo Tamayo et al. :
Application No. 09/963,401 : GROUP ART UNIT: 2171
Confirmation No. 9029 :
Filed: September 27, 2001 :
For: ENTERPRISE WEB MINING :
SYSTEM AND METHOD :
:

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION

Commissioner for Patents
Washington, D.C. 20231

Sir:

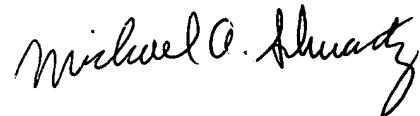
In response to the **NOTICE TO FILE MISSING PARTS OF**
NONPROVISIONAL APPLICATION mailed October 23, 2001, applicant submits herewith the
following:

1. Copy of Notice;
2. Combined Declaration and Power of Attorney;
3. Petition for Extension of Time; and
4. Authorization to charge fees

CONCLUSION

The Commissioner is hereby authorized to charge any insufficient fees or credit any overpayment associated with this application to Deposit Account No. 19-5127 (19111.0049).

Respectfully submitted,
Swidler Berlin Shreff Friedman, LLP



Dated: January 17, 2002

By: Michael A. Schwartz
Registration No. 40,161

SWIDLER BERLIN SHREFF FRIEDMAN, LLP
3000 K Street, N.W., Suite 300
Washington, D.C. 20007
(202) 424-7500

M.M

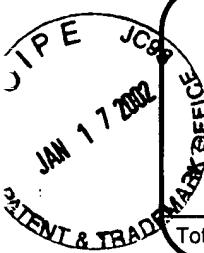
PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box →

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



Sect 48

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

9

Application Number	09/963,401
Filing Date	Sept. 27, 2001
First Named Inventor	Pablo Tamayo
Group Art Unit	2171
Examiner Name	

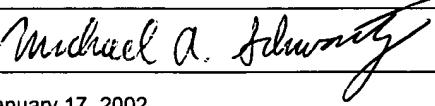
Attorney Docket Number

19111.0049

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Statement Claiming Small Entity Status	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copy of Notice; Combined Declaration and Power of Attorney; and Petition for Extension of Time
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Michael A. Schwartz, Reg. No. 40,161
Signature	
Date	January 17, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name		
Signature	Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 240

Complete If Known	
Application Number	09/963,401
Filing Date	Sept. 27, 2001
First Named Inventor	Pablo Tamayo
Examiner Name	
Group / Art Unit	2171

Attorney Docket No. 19111.0049

METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)																																																																																																																																																																																			
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number 195127, Order No. 19111.0049</p> <p>Deposit Account Name Swidler Berlin Shreff Friedman, LLP</p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>					<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Fee Code</th> <th style="text-align: left;">Large Entity Fee (\$)</th> <th style="text-align: left;">Small Entity Fee Code (\$)</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65 Surcharge - late filing fee or oath</td><td style="text-align: right;">130</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25 Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130 Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520 For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920* Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840* Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55 Extension for reply within first month</td><td style="text-align: right;">110</td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200 Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460 Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720 Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980 Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155 Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155 Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135 Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510 Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55 Petition to revive – unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>620 Petition to revive – unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>620 Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td>220 Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>300 Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130 Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>130</td><td>123</td><td>130 Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180 Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40 Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>740</td><td>246</td><td>355 Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>355 For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370 Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900 Request for expedited examination of a design application</td><td></td></tr> <tr> <td colspan="5"></td> <td colspan="5" style="text-align: center;">Other fee (specify)</td> </tr> <tr> <td colspan="5"></td> <td colspan="5" style="text-align: center;">*Reduced by Basic Filing Fee Paid</td> </tr> <tr> <td colspan="5"></td> <td colspan="5" style="text-align: center;">SUBTOTAL (3) (\$ 240)</td> </tr> </tbody> </table>					Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205	65 Surcharge - late filing fee or oath	130	127	50	227	25 Surcharge - late provisional filing fee or cover sheet.		139	130	139	130 Non-English specification		147	2,520	147	2,520 For filing a request for reexamination		112	920*	112	920* Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840* Requesting publication of SIR after Examiner action		115	110	215	55 Extension for reply within first month	110	116	400	216	200 Extension for reply within second month		117	920	217	460 Extension for reply within third month		118	1,440	218	720 Extension for reply within fourth month		128	1,960	228	980 Extension for reply within fifth month		119	310	219	155 Notice of Appeal		120	310	220	155 Filing a brief in support of an appeal		121	270	221	135 Request for oral hearing		138	1,510	138	1,510 Petition to institute a public use proceeding		140	110	240	55 Petition to revive – unavoidable		141	1,280	241	620 Petition to revive – unintentional		142	1,280	242	620 Utility issue fee (or reissue)		143	460	243	220 Design issue fee		144	620	244	300 Plant issue fee		122	130	122	130 Petitions to the Commissioner		123	130	123	130 Petitions related to provisional applications		126	180	126	180 Submission of Information Disclosure Stmt		581	40	581	40 Recording each patent assignment per property (times number of properties)		146	740	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	249	355 For each additional invention to be examined (37 CFR § 1.129(b))		179	740	279	370 Request for Continued Examination (RCE)		169	900	169	900 Request for expedited examination of a design application							Other fee (specify)										*Reduced by Basic Filing Fee Paid										SUBTOTAL (3) (\$ 240)				
Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																																																																																				
105	130	205	65 Surcharge - late filing fee or oath	130																																																																																																																																																																																				
127	50	227	25 Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																																					
139	130	139	130 Non-English specification																																																																																																																																																																																					
147	2,520	147	2,520 For filing a request for reexamination																																																																																																																																																																																					
112	920*	112	920* Requesting publication of SIR prior to Examiner action																																																																																																																																																																																					
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action																																																																																																																																																																																					
115	110	215	55 Extension for reply within first month	110																																																																																																																																																																																				
116	400	216	200 Extension for reply within second month																																																																																																																																																																																					
117	920	217	460 Extension for reply within third month																																																																																																																																																																																					
118	1,440	218	720 Extension for reply within fourth month																																																																																																																																																																																					
128	1,960	228	980 Extension for reply within fifth month																																																																																																																																																																																					
119	310	219	155 Notice of Appeal																																																																																																																																																																																					
120	310	220	155 Filing a brief in support of an appeal																																																																																																																																																																																					
121	270	221	135 Request for oral hearing																																																																																																																																																																																					
138	1,510	138	1,510 Petition to institute a public use proceeding																																																																																																																																																																																					
140	110	240	55 Petition to revive – unavoidable																																																																																																																																																																																					
141	1,280	241	620 Petition to revive – unintentional																																																																																																																																																																																					
142	1,280	242	620 Utility issue fee (or reissue)																																																																																																																																																																																					
143	460	243	220 Design issue fee																																																																																																																																																																																					
144	620	244	300 Plant issue fee																																																																																																																																																																																					
122	130	122	130 Petitions to the Commissioner																																																																																																																																																																																					
123	130	123	130 Petitions related to provisional applications																																																																																																																																																																																					
126	180	126	180 Submission of Information Disclosure Stmt																																																																																																																																																																																					
581	40	581	40 Recording each patent assignment per property (times number of properties)																																																																																																																																																																																					
146	740	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																					
149	740	249	355 For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																					
179	740	279	370 Request for Continued Examination (RCE)																																																																																																																																																																																					
169	900	169	900 Request for expedited examination of a design application																																																																																																																																																																																					
					Other fee (specify)																																																																																																																																																																																			
					*Reduced by Basic Filing Fee Paid																																																																																																																																																																																			
					SUBTOTAL (3) (\$ 240)																																																																																																																																																																																			
<p>*or number previously paid, if greater; For Reissues, see above</p>																																																																																																																																																																																								

SUBMITTED BY						Complete (if applicable)	
Name (Print/Type)	Michael A. Schwartz	Registration No. Attorney/Agent	40,161	Telephone	202 424-7500		
Signature	<i>Michael A. Schwartz</i>				Date	January 17, 2002	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

O
JAN 17 2002
PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/963,401	09/27/2001	Pablo Tamayo	19111.0049

CONFIRMATION NO. 9029

23517
SWIDLER BERLIN SHEREFF FRIEDMAN, LLP
3000 K STREET, NW
BOX 1P
WASHINGTON, DC 20007

FORMALITIES LETTER



OC00000006956894

Date Mailed: 10/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE